

Attachment B
Ownership and Controlling Person Information
According to Business Entity Type

04-2014

Note: Be sure to indicate titles.

Business Entity Type	Ownership Disclosure
<i>Sole Proprietorship</i>	<ul style="list-style-type: none"> • The sole proprietor
<i>For-profit Corporation</i>	<ul style="list-style-type: none"> • All shareholders owning 5 percent or more (Percentages must total 100 percent. If percentages disclosed do not total 100 percent, answer questions at bottom of page in Item 8 of Form 3709 application.) • President • Secretary • Treasurer • All officers • All directors • All executive trustees and/or managing employees • For for-profit corporations with shareholders that own less than 5 percent, disclose officers, directors, executive trustees and/or managing employees.
<i>Nonprofit Organization</i>	<ul style="list-style-type: none"> • President • Secretary • Treasurer • All officers • All directors • All board members and/or board trustees
<i>Limited Liability Company</i>	<ul style="list-style-type: none"> • All members and managers (Percentages must total 100 percent. If there are membership units that are unassigned, mark the appropriate box at the bottom of the page in Item 8 of Form 3709 that indicates the remaining membership units are unassigned.) • All officers
<i>General Partnership</i>	<ul style="list-style-type: none"> • All partners (Percentages must total 100 percent.)
<i>Limited Partnership</i>	<ul style="list-style-type: none"> • The general partner(s) • All limited partners (Percentages must total 100 percent.)
<i>Retirement Systems, Investment Fund/Company</i>	<ul style="list-style-type: none"> • Fund manager or fund advisor • Securities Exchange Commission identifying the company as an investment fund/company
<i>Trust, Living Trust</i>	<ul style="list-style-type: none"> • All trustees
<i>Estate</i>	<ul style="list-style-type: none"> • Executor
<i>Federal, State, County, or City Governmental Authority or Hospital District/Authority</i>	<ul style="list-style-type: none"> • All commissioners • All associate or deputy commissioners